



PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Neil Clair Berglund et al. : Date: October 16, 2003  
Group Art Unit: 2133 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/879,725 : Dept. 917, Bldg. 006-1  
Filed: June 12, 2001 : 3605 Highway 52 North  
Title: APPARATUS, PROGRAM PRODUCT : Rochester, MN 55901  
AND METHOD OF PERFORMING  
POWER FAULT ANALYSIS IN A  
COMPUTER SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

OCT 27 2003

Dear Sir:

**Technology Center 2100**

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Box 1450, Alexandria, VA 22313-1450, on

October 16, 2003

(Date of Deposit)

Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

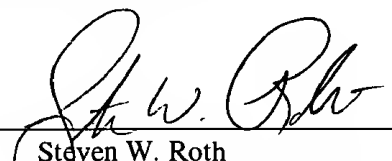
Serial No. 09/879,725  
Docket No. ROC920010019US1

PATENT

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

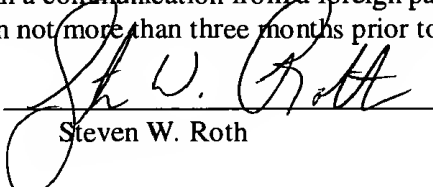
This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By   
Steven W. Roth  
Attorney Reg. No. 34,712  
Telephone: 1-507-253-1600  
Fax: 1-507-253-2382

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CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

  
Steven W. Roth

October 16, 2003  
Date

PTO/SB/08A


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/879,725  
 Filing Date: June 12, 2001  
 First Named Inventor: Berglund et al.  
 Art Unit: 2133  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920010019US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,557,777	09-17-1996	Culbert	
		US - 5,951,686	09-14-1999	McLaughlin et al.	
		US - 6,052,793	04-18-2000	Mermelstein	
		US -			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

A circular ink stamp from the Patent &amp; Trademark Office. The text "OCT 20 2003" is curved along the top inner edge. The text "PATENT &amp; TRADEMARK OFFICE" is curved along the bottom inner edge. The text "JCC" is printed vertically on the right side of the stamp.

Application Number.: 09/879,725  
Filing Date: June 12, 2001  
First Named Inventor: Berglund et al.  
Art Unit: 2133  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920010019US1

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

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